



# Basics in Modal Logic XII

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Definability of model class

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# Characterizing Definability

A class of pointed models  $\mathbb{K}$  is *definable* by a set of formulas  $\Sigma$ , if for any model  $\mathcal{M}, w$ ,  $\mathcal{M}, w \in \mathbb{K}$  iff for any  $\varphi \in \Sigma$ :  $\mathcal{M}, w$  satisfies  $\varphi$ .

A class of pointed models  $\mathbb{K}$  is definable by a formula  $\varphi$  if for any model  $\mathcal{M}, w$ ,  $\mathcal{M}, w \in \mathbb{K}$  iff  $\mathcal{M}, w$  satisfies  $\varphi$ .

If  $\mathbb{K}$  is definable by  $\varphi$  then  $\overline{\mathbb{K}}$  is definable by  $\neg\varphi$ . On the other hand, that  $\mathbb{K}$  is definable by  $\Sigma$  does not imply that  $\overline{\mathbb{K}}$  is definable by another set of formulas (if  $\Sigma$  is infinite). To see this, suppose  $\mathbb{K}$  is definable by  $\Sigma$  then  $\mathcal{M}, w \in \mathbb{K}$  iff for any  $\varphi \in \Sigma$ :  $\mathcal{M}, w$  satisfies  $\varphi$ . Therefore  $\mathcal{M}, w \in \overline{\mathbb{K}}$  iff there is a  $\varphi \in \Sigma$ :  $\mathcal{M}, w$  satisfies  $\neg\varphi$ . So intuitively the “infinite disjunction”

$\bigvee_{\infty} \{\neg\varphi \mid \varphi \in \Sigma\}$  defines  $\overline{\mathbb{K}}$ . However, this disjunction may not be expressible by a set of formulas in the logic in concern.

# Characterizing definability

A natural question to ask is: when is a class of models definable by a modal formula or a set of modal formulas? A straightforward answer based on van Benthem's theorem:

## Proposition

*A class of pointed models is definable by a set of modal formulas iff it is definable by a set of bisimulation invariant first-order formulas with one free variable.*

More “structural” and informative characterization:

## Theorem

*A class of pointed models is definable by a set of modal formulas iff it is closed under bisimulation, ultraproduct, and its complement is closed under ultrapower.*

Q: Why is there a requirement about the complement of  $\mathbb{K}$ ?

# Characterizing Definability

## Proof.

( $\Leftarrow$ ) Let  $\Sigma = \{\varphi \mid \text{for all } \mathcal{M}, w \in \mathbb{K} : \mathcal{M}, w \models \varphi\}$ . We show that  $\Sigma$  defines  $\mathbb{K}$ . Suppose  $\mathcal{M}, w \models \Sigma$ , NTS  $\mathcal{M}, w \in \mathbb{K}$ . Suppose not, then  $\mathcal{M}, w \in \overline{\mathbb{K}}$ . Let  $\Gamma = \{\psi \mid \mathcal{M}, w \models \psi\}$ . Clearly  $\Gamma$  is finitely sat in  $\mathbb{K}$  (otherwise there is a formula  $\neg \bigwedge \{\psi \mid \psi \in \Gamma\} \in \Sigma$ ). Then  $\Gamma$  is satisfiable in  $\mathbb{K}$  by some ultraproduct model  $\mathcal{N}, v$  (recall the proof of compactness and the fact that  $\mathbb{K}$  is closed under ultraproduct). Then based on the previous theorems, there exist ultrapowers  $\prod_U \mathcal{M}, |f_w| \equiv_{\text{ML}} \prod_{U'} \mathcal{N}, |f_v|$  such that  $\prod_U \mathcal{M}$  and  $\prod_{U'} \mathcal{N}$  are  $m$ -saturated (note that we can always turn a model into  $m$ -saturated by some ultrapower). Thus  $\prod_U \mathcal{M}, |f_w| \Leftrightarrow \prod_{U'} \mathcal{N}, |f_v|$ , however, one is in  $\mathbb{K}$  and the other in  $\overline{\mathbb{K}}$ , contradicting to the assumption that  $\mathbb{K}$  is closed under bisimulation. □

Q: What if we drop the closure condition for  $\overline{\mathbb{K}}$ ?

# Characterizing Definability

## Theorem

*A class of pointed models is definable by a modal formula iff it and its complement are both closed under bisimulation and ultraproduct.*

## Proof.

Suppose  $\mathbb{K}$  and  $\overline{\mathbb{K}}$  are closed under bisimulation and ultraproduct. From the previous theorem, we have  $\mathbb{K}$  and  $\overline{\mathbb{K}}$  defined by  $\Sigma_1$  and  $\Sigma_2$  respectively. It is clear that  $\Sigma_1 \cup \Sigma_2$  is unsatisfiable. Thus there is a finite subset of  $\Sigma_1 \cup \Sigma_2$  is not satisfiable. Therefore there is a formula  $\varphi_1$  (conjunction of some  $\varphi \in \Sigma_1$ ) and a formula  $\varphi_2$  (conjunction of some  $\psi \in \Sigma_2$ ) such that  $\models \varphi_1 \rightarrow \neg\varphi_2$ . Then  $\mathbb{K}$  is defined by  $\varphi_1$ . □

## A modal characterization

Ultrafilter union is the modal counterpart of ultraproduct. It is essentially (a submodel of) the ultrafilter extension of a disjoint union of a family of pointed models  $\{\mathcal{M}_i, w_i \mid i \in I\}$  w.r.t. an appropriate ultrafilter.

### Theorem (Venema)

*A class  $\mathbb{K}$  of pointed models is definable by a set of modal formula iff  $\mathbb{K}$  is closed under bisimulation and ultrafilter union and  $\overline{\mathbb{K}}$  is closed under ultrafilter extension.*

### Theorem (Venema)

*A class  $\mathbb{K}$  of pointed models is definable by a modal formula iff  $\mathbb{K}$  and  $\overline{\mathbb{K}}$  are closed under bisimulation and ultrafilter union.*



## Modal model theory: a summary

- We introduced the following notions:  
Kripke models, logical equivalence, various structural equivalences, and especially bisimilarity, bisimulation/EF games, saturation, ultrafilter, distinguishing power, expressive power, succinctness, standard translation...
- There are some model construction methods:  
bounded morphism, disjoint union, unravelling, generated submodel, bisimulation contraction, filtration, ultrafilter extension, ultraproduct, ultrapower and ultrafilter union.
- Modal logic has:  
small (tree) model property, decidability, compactness.
- Important result: Hennessy-Milner theorem, vB-Rosen characterization (on finite models), definability characterization.  
The proof ideas are very important!