



Basics in Modal Logic XII

Yanjing Wang

Department of Philosophy, Peking University

April. 2nd, 2024

Advanced Modal Logic (2024 Spring)

Definability of model class

Definability of model class

Characterizing Definability

A class of pointed models \mathbb{K} is *definable* by a set of formulas Σ , if for any model \mathcal{M}, w , $\mathcal{M}, w \in \mathbb{K}$ iff for any $\varphi \in \Sigma$: \mathcal{M}, w satisfies φ .

A class of pointed models \mathbb{K} is definable by a formula φ if for any model \mathcal{M}, w , $\mathcal{M}, w \in \mathbb{K}$ iff \mathcal{M}, w satisfies φ .

If \mathbb{K} is definable by φ then $\overline{\mathbb{K}}$ is definable by $\neg\varphi$. On the other hand, that \mathbb{K} is definable by Σ does not imply that $\overline{\mathbb{K}}$ is definable by another set of formulas (if Σ is infinite). To see this, suppose \mathbb{K} is definable by Σ then $\mathcal{M}, w \in \mathbb{K}$ iff for any $\varphi \in \Sigma$: \mathcal{M}, w satisfies φ . Therefore $\mathcal{M}, w \in \overline{\mathbb{K}}$ iff there is a $\varphi \in \Sigma$: \mathcal{M}, w satisfies $\neg\varphi$. So intuitively the “infinite disjunction”

$\bigvee_{\infty} \{\neg\varphi \mid \varphi \in \Sigma\}$ defines $\overline{\mathbb{K}}$. However, this disjunction may not be expressible by a set of formulas in the logic in concern.

Characterizing definability

A natural question to ask is: when is a class of models definable by a modal formula or a set of modal formulas? A straightforward answer based on van Benthem's theorem:

Proposition

A class of pointed models is definable by a set of modal formulas iff it is definable by a set of bisimulation invariant first-order formulas with one free variable.

More “structural” and informative characterization:

Theorem

A class of pointed models is definable by a set of modal formulas iff it is closed under bisimulation, ultraproduct, and its complement is closed under ultrapower.

Q: Why is there a requirement about the complement of \mathbb{K} ?

Characterizing Definability

Proof.

(\Leftarrow) Let $\Sigma = \{\varphi \mid \text{for all } \mathcal{M}, w \in \mathbb{K} : \mathcal{M}, w \models \varphi\}$. We show that Σ defines \mathbb{K} . Suppose $\mathcal{M}, w \models \Sigma$, NTS $\mathcal{M}, w \in \mathbb{K}$. Suppose not, then $\mathcal{M}, w \in \overline{\mathbb{K}}$. Let $\Gamma = \{\psi \mid \mathcal{M}, w \models \psi\}$. Clearly Γ is finitely sat in \mathbb{K} (otherwise there is a formula $\neg \bigwedge \{\psi \mid \psi \in \Gamma\} \in \Sigma$). Then Γ is satisfiable in \mathbb{K} by some ultraproduct model \mathcal{N}, v (recall the proof of compactness and the fact that \mathbb{K} is closed under ultraproduct). Then based on the previous theorems, there exist ultrapowers $\prod_U \mathcal{M}, |f_w| \equiv_{\text{ML}} \prod_{U'} \mathcal{N}, |f_v|$ such that $\prod_U \mathcal{M}$ and $\prod_{U'} \mathcal{N}$ are m -saturated (note that we can always turn a model into m -saturated by some ultrapower). Thus $\prod_U \mathcal{M}, |f_w| \Leftrightarrow \prod_{U'} \mathcal{N}, |f_v|$, however, one is in \mathbb{K} and the other in $\overline{\mathbb{K}}$, contradicting to the assumption that \mathbb{K} is closed under bisimulation. □

Q: What if we drop the closure condition for $\overline{\mathbb{K}}$?

Characterizing Definability

Theorem

A class of pointed models is definable by a modal formula iff it and its complement are both closed under bisimulation and ultraproduct.

Proof.

Suppose \mathbb{K} and $\overline{\mathbb{K}}$ are closed under bisimulation and ultraproduct. From the previous theorem, we have \mathbb{K} and $\overline{\mathbb{K}}$ defined by Σ_1 and Σ_2 respectively. It is clear that $\Sigma_1 \cup \Sigma_2$ is unsatisfiable. Thus there is a finite subset of $\Sigma_1 \cup \Sigma_2$ is not satisfiable. Therefore there is a formula φ_1 (conjunction of some $\varphi \in \Sigma_1$) and a formula φ_2 (conjunction of some $\psi \in \Sigma_2$) such that $\models \varphi_1 \rightarrow \neg\varphi_2$. Then \mathbb{K} is defined by φ_1 . □

A modal characterization

Ultrafilter union is the modal counterpart of ultraproduct. It is essentially (a submodel of) the ultrafilter extension of a disjoint union of a family of pointed models $\{\mathcal{M}_i, w_i \mid i \in I\}$ w.r.t. an appropriate ultrafilter.

Theorem (Venema)

A class \mathbb{K} of pointed models is definable by a set of modal formula iff \mathbb{K} is closed under bisimulation and ultrafilter union and $\overline{\mathbb{K}}$ is closed under ultrafilter extension.

Theorem (Venema)

A class \mathbb{K} of pointed models is definable by a modal formula iff \mathbb{K} and $\overline{\mathbb{K}}$ are closed under bisimulation and ultrafilter union.

Modal model theory: a summary

- We introduced the following notions:
Kripke models, logical equivalence, various structural equivalences, and especially bisimilarity, bisimulation/EF games, saturation, ultrafilter, distinguishing power, expressive power, succinctness, standard translation...
- There are some model construction methods:
bounded morphism, disjoint union, unravelling, generated submodel, bisimulation contraction, filtration, ultrafilter extension, ultraproduct, ultrapower and ultrafilter union.
- Modal logic has:
small (tree) model property, decidability, compactness.
- Important result: Hennessy-Milner theorem, vB-Rosen characterization (on finite models), definability characterization.
The proof ideas are very important!