

# **Epistemic Logic VI**

The Dynamic Turn (B)

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Axiomatizations via reduction

A new axiomatization

### Recap: Public Announcement Logic (PAL)

The language of *Public Announcement Logic* (**PAL**):

$$\phi ::= \top |p| \neg \phi | (\phi \land \phi) | \Box_i \phi | [\phi] \phi \text{ (also write } [!\phi] \phi)$$

Interpreted on (usually S5) Kripke models  $\mathcal{M} = (S, \{\rightarrow_i\}_{i \in I}, V)$ :

$$\mathcal{M}, S \models \Box_i \psi \Leftrightarrow \forall t : S \rightarrow_i t \Longrightarrow \mathcal{M}, t \models \psi$$
  
 $\mathcal{M}, S \models [\psi] \phi \Leftrightarrow \mathcal{M}, S \models \psi \text{ implies } \mathcal{M}|_{\psi}, S \models \phi$ 

where  $\mathcal{M}|_{\psi} = (S', \{\rightarrow'_i | i \in I\}, V')$  such that:  $S' = \{s \mid \mathcal{M}, s \models \psi\}, \rightarrow'_i = \rightarrow_i |_{S' \times S'}$  and  $V'(p) = V(p) \cap S'$ .

$$\begin{pmatrix}
1 \\
S_1 : \{p\} & \leftarrow 1 \rightarrow S_2 : \{\}
\end{pmatrix} \qquad [p] \implies \qquad S_1 : \{p\}$$

$$\mathcal{M}$$
,  $S_1 \models \neg \Box_1 p \land [p] \Box_1 p$ 

# Recap: PA + your choice

A C - l	
Axiom Schemas	
TAUT	all the instances of tautologies
DISTK	$\Box_i(\phi \to \psi) \to (\Box_i \phi \to \Box_i \psi)$
! ATOM	$[\psi]p \leftrightarrow (\psi \rightarrow p)$
! NEG	$[\psi]\neg\phi\leftrightarrow(\psi\rightarrow\neg[\psi]\phi)$
! CON	$[\psi](\phi \wedge \chi) \leftrightarrow ([\psi]\phi \wedge [\psi]\chi)$
! K	$[\psi]\Box_i\phi\leftrightarrow(\psi\rightarrow\Box_i[\psi]\phi)$
Rules	
NECK	φ
	$\Box_i \phi$
MP	$\phi, \phi \to \psi$
	$\psi$
Your choice	
RF	$\phi \leftrightarrow \chi$
N.E.	$\overline{\psi \leftrightarrow \psi[\chi/\phi]}$
! COM	$[\psi][\chi]\phi \leftrightarrow [\psi \wedge [\psi]\chi]\phi$

# Plaza's notation may help to see reduction axioms

In Plaza's paper:  $\phi + \psi := \langle \phi \rangle \psi$ ,  $\equiv := \leftrightarrow$ , under the rule of RE.

$$\phi + p \equiv \phi \wedge p$$

$$\phi + T \equiv \phi$$

$$\phi + L \equiv L$$

$$\phi + (\psi_1 \wedge \psi_2) \equiv (\phi + \psi_1) \wedge (\phi + \psi_2)$$

$$\phi + (\psi_1 \vee \psi_2) \equiv (\phi + \psi_1) \vee (\phi + \psi_2)$$

$$\phi + \neg \psi \equiv \phi \wedge \neg (\phi + \psi)$$

$$\phi + (\psi_1 \rightarrow \psi_2) \equiv \phi \wedge (\phi + \psi_1 \rightarrow \phi + \psi_2)$$

$$\phi + (\psi_1 \equiv \psi_2) \equiv \phi \wedge (\phi + \psi_1 \equiv \phi + \psi_2)$$

$$\phi + \Box_i \psi \equiv \phi \wedge \Box_i (\phi \rightarrow \phi + \psi)$$

Interpretation of **PA**<sup>+</sup> in system **K**:

For all  $\phi \in PAL$ :  $\vdash_{PA^+} \phi \iff \vdash_{K} t(\phi)$  and  $\vdash_{PA^+} \phi \leftrightarrow t(\phi)$ .

# Plaza's notation may help to see reduction axioms

$$\phi + \psi \not\equiv \psi + \phi, \phi + \phi \not\equiv \phi$$
 but...

The following are provable theorems:

$$T + \phi \equiv \phi$$

$$\bot + \phi \equiv \bot$$

$$\phi + (\psi + \chi) \equiv (\phi + \psi) + \chi$$

$$\phi + \psi \rightarrow \phi$$

$$(\phi_1 + \dots + \phi_i + \dots + \phi_n) \rightarrow (\phi_1 + \dots + \phi_i)$$

$$(\phi + \psi_1) \land (\phi + (\psi_1 \rightarrow \psi_2)) \rightarrow \phi + \psi_2$$

There are also algebraic semantics for PAL.

The reduction technique turns out to be extremely useful in many applications and thus dominates the field of DEL.

- Logic is more than it appears!
- Update-closeness may be considered as a desired property of a logic: it shows the logic has enough pre-encoding power [van Benthem et al., 2006].
- · Regressive analysis of the knowledge updates.
- · Analogy of difference equations in dynamical system.
- · Also good for lazy guys to have some "results".
- The orthodox programme of DEL: static logic+dynamic operators+reduction

### There can be lots of variations

### Summary of Part (II) of van Benthem's Logicial Dynamics book

chapter	representation	transformation
PAL	epistemic model (EM)	relativization
DEL	EM	product update
awareness	EM + accessible sets	relativization and realization
issue management	EM + issue relations	link-intersection and product updat
belief	EM + plausibility relations	lexicographic/conservative upgrade
probability	EM + probability distributions	probabilistic product update
preference	modal betterness model	like Ch.7, defined by PDL programs
games	EM + moves (extensive games)	relativization and product update
procedures	EM + protocols	relativization and product update
groups	doxastic model	priority update

Two basic questions

### The first question

In some published papers, PA and its variants are mentioned as complete systems. Is PA really complete?

Unfortunately, **PA** and many of its siblings are **not** complete, and in some cases the flaws cannot be fixed.

### The second question

Can we give meaningful axiomatizations without those reduction axioms and the reduction proof method?

Yes, we can! Not all the axiomatizations are born equal!

We will give a general axiomatization method inspired by Epistemic Temporal Logic. It will tell us what exactly is assumed in Dynamic Epistemic Logic.

We will look at the answers in detail [Wang and Cao, 2013].

Axiomatizations via reduction

# First question

Is **PA** complete?

$$\models \phi \implies \models t(\phi) \stackrel{\text{completeness of K}}{\Longrightarrow} \vdash_{\mathsf{K}} t(\phi) \implies \vdash_{\mathsf{PA}} t(\phi) \stackrel{\mathsf{Rd.Axioms}}{\Longrightarrow} \vdash_{\mathsf{PA}} \phi$$
$$t([\psi][\chi]\phi) = t([\psi]t([\chi]\phi))$$

$$t'([\psi][\chi]\phi) = t'([\psi \land [\psi]\chi]\phi)$$

The first translation needs **RE**, the second translation needs **!COM** in the proof system.

# **Negative Answer**

PA is not complete!

We need to show that there exists  $\phi$ :  $\models \phi$  but  $\nvdash_{PA} \phi$ .

A general proof strategy to show some formula is not derivable in a proof system **S**: design a non-standard semantics ⊩ which validates the axioms and rules in **S**.

Thus for all  $\phi : \vdash_S \phi \implies \vdash \phi$ . Then from  $\not\vdash \phi$  we have  $\not\vdash_S \phi$ .

### A non-standard semantics

Goal: design a semantics to validate PA but not !COM (nor RE). Given a Kripke model over  $\mathcal{M} = (S, \{\rightarrow_i | i \in I\}, V)$ , the truth value of a PAL formula  $\phi$  at a state s in  $\mathcal{M}$  is recursively defined as based on  $\Vdash_{\rho}$  where  $\rho$  is a formula in the language of PAL:

We say  $\phi$  is valid w.r.t.  $\Vdash$  if  $\Vdash \phi$  (equivalently  $\Vdash_{\top} \phi$ ).

It is handy to show  $\Vdash \rho \leftrightarrow \rho'$  then  $\mathcal{M}, s \Vdash_{\rho} \phi \Leftrightarrow \mathcal{M}, s \Vdash_{\rho'} \phi$  for any  $\phi$  and any  $\mathcal{M}, s$ .

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### Some examples

Consider the following (S5) model  $\mathcal M$  with two worlds s,v:



 $\mathcal{M}, S \Vdash \neg \Box_i p \iff \mathcal{M}, S \not\Vdash_{\top} \Box_i p \iff (\exists t \triangleright_i s : \mathcal{M}, t \Vdash_{\top}$  $\top$  and  $\mathcal{M}, t \not\Vdash_{\top} p$ ). Since  $p \notin V(v)$  and  $s \xrightarrow{i} v$ ,  $\mathcal{M}, s \vdash_{\neg \Box_i p}$ .  $\mathcal{M}_{i}$ ,  $S \Vdash_{D} \Box_{i} p \iff (\forall t \triangleright_{i} S : \mathcal{M}_{i}, t \Vdash_{T} p \text{ implies } \mathcal{M}_{i}, t \Vdash_{D} p).$ Clearly,  $\mathcal{M}$ ,  $s \Vdash_{p} \Box_{i} p$ . Similarly  $\mathcal{M}$ ,  $s \Vdash_{T \land p} \Box_{i} p$ .  $\mathcal{M}, S \Vdash [p][\neg \Box_i p] \bot \iff (\mathcal{M}, S \Vdash_{\top} p \text{ implies } \mathcal{M}, S \Vdash_{\top \wedge D}$  $[\neg \Box_i p] \bot \Longrightarrow (\mathcal{M}, \mathsf{S} \Vdash_{\mathsf{T}} \neg \Box_i p \text{ implies } \mathcal{M}, \mathsf{S} \Vdash_{\mathsf{T} \land p \land \neg \Box_i p} \bot).$  Thus  $\mathcal{M}$ ,  $s \not\models [p][\neg \Box_i p] \bot$ . On the other hand, it is easy to verify that  $\mathcal{M}, s \Vdash [p \land [p] \neg \Box_i p] \bot$ .

### Recall the other axioms

Axiom Schemas	
DIST!	$[\psi](\phi \to \chi) \to ([\psi]\phi \to [\psi]\chi)$
! COM	$[\psi][\chi]\phi \leftrightarrow [\psi \wedge [\psi]\chi]\phi$
Rules	
NEC!	$rac{\phi}{[\psi]\phi}$
RE	$\frac{\phi \leftrightarrow \chi}{\psi \leftrightarrow \psi[\chi/\phi]}$

$$! \mathsf{COM} : [\psi][\chi]\phi \leftrightarrow [\psi \land [\psi]\chi]\phi \quad \mathsf{X}$$

 $! \mathsf{COM} \wedge : [\psi][\chi] \phi \leftrightarrow [\psi \wedge \chi] \phi$ 

Theorem For all PAL formulas  $\phi$ :  $\vdash_{PA+DIST!} \phi$  implies  $\Vdash \phi$ .

**Lemma**None of !COM, NEC!, RE!, RE is valid under ⊩.

Theorem PA + DIST! is not complete.

Theorem  $PA + !COM \land is sound and complete w.r.t. \Vdash.$ 

### To complete the whole picture

We have seen that PA + DIST! is not complete but PA + NEC! + DIST! is complete (why?). So, what about PA + NEC!? We need to design a new semantics.

# Theorem DIST! is not derivable from PA + NEC!.

As an immediate corollary:

# Corollary PA + NEC! is not complete w.r.t. standard semantics ⊧.

# Conclusion of the answer to question 1

Summary of the results (PA can be replaced by PAS5, see [Wang and Cao, 2013]):

derivable/admissible in <b>PA</b>	not derivable/admissible in <b>PA</b>
WDIST!, FUNC, RE¬, RE∧, RE□	!COM, DIST!, SDIST!,
	PRE, !K', NEC!, RE!, RE
sound & complete systems	sound & incomplete systems
PA-!CON+DIST!+NEC!, PA+PRE+NEC!	PA+!K'+PRE+DIST!+!RE,
PA+RE, PA+!COM	PA+NEC!

The lesson that we learned:

There may be different ways to conduct the reductions in **DEL** logics which require different facilities in the proof system.

Make your choice carefully! Constructing alternative semantics can help us to understand the merit of the original semantics

### An alternative context-dependent semantics

The context-dependent semantics gives us a lot more freedom in designing the semantics for dynamic epistemic logic. The updates will only change the *context*, not the model:

We can show:  $\mathcal{M}, s \models \phi \iff \mathcal{M}, s \Vdash \phi$ .

Similar semantics leads to a Gentzen-style sequent system for PAL (Maffezioli and Negri 11).

A new axiomatization

### Reduction axioms: must-do or coincidence?

Can we give meaningful axiomatizations without those reduction axioms and the reduction proof method?

Yes, we can!

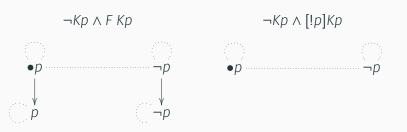
We will give a new axiomatization with a general proof method inspired by Epistemic Temporal Logic.

Let us go back to the standard method in normal modal logic.

### Background: ETL and DEL

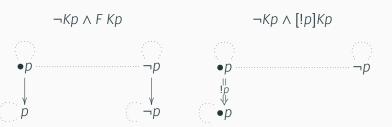
They are semantics-driven two-dimensional modal logics:

	language	model	semantics
ETL	time+K	temporal+epistemic	Kripke-like
DEL	K+events	epistemic	Kripke+dynamic



# Background

	language	model	semantics
ETL	time+K	temporal+epistemic	Kripke-like
DEL	K+events	epistemic	Kripke+dynamic



Dynamic semantics: the **meaning** of an event is the **change** it brings to the knowledge states.

# Bridging the two

An earlier observation: Iterated updating epistemic structures generates special ETL-style "super models" [van Benthem et al., 2009].

Our approach: relate DEL and ETL via axioms.

### New method

Basic idea: treat  $[\psi]$  as a **normal** modality interpreted on the standard two-dimensional ETL models with labelled transitions:

$$(S, \rightarrow, \{ \stackrel{\psi}{\rightarrow} | \psi \in PAL \}, V)$$

We call  $(S, \rightarrow, V)$  the *Epistemic core* of  $\mathcal{M}$  (notation  $\mathcal{M}^-$ ).

$$\mathcal{M}, s \Vdash [\psi] \phi \iff \forall t : s \xrightarrow{\psi} t \text{ implies } \mathcal{M}, t \Vdash \phi$$

Proof strategy: find a class of ETL-style models  ${\mathbb C}$  and show the following:

$$\models \phi \implies \mathbb{C} \Vdash \phi \implies \vdash_{\mathsf{S}} \phi.$$

for some system S.  $\implies$  can be strengthened to  $\iff$ .

### Definition (Normal ETL models w.r.t. PAL)

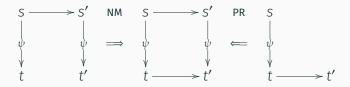
An ETL-like model  $\mathcal{M} = (S, \rightarrow, \{ \stackrel{\psi}{\rightarrow} | \psi \in PAL \}, V)$  for PAL is called normal if the following properties hold for any s, t in  $\mathcal{M}$ :

**U-Executability** For any **PAL** formula  $\psi$ :  $\mathcal{M}$ ,  $s \Vdash \psi$  iff s has outgoing  $\psi$ -transitions.

**U-Invariance** if  $s \stackrel{\psi}{\to} t$  then  $s \in V(p) \iff t \in V(p)$  for all  $p \in P$ .

U-Zig (NM) if  $s \to s'$ ,  $s' \xrightarrow{\psi} t'$  and  $s \xrightarrow{\psi} t$  then  $t \to t'$ .

**U-Zag (PR)** if  $s \xrightarrow{\psi} t$  and  $t \to t'$  then there exists an s' such that  $s \to s'$  and  $s' \xrightarrow{\psi} t'$ .



These are the properties of (synchronous) no miracles and perfect recall.

Same language, two logics:  $\langle \mathsf{PAL}, \mathbb{M}, \models \rangle$  and  $\langle \mathsf{PAL}, \mathbb{C}, \Vdash \rangle$ . We want to show that  $\mathbb{M} \models \phi \implies \mathbb{C} \Vdash \phi$ .

We can show for any  $\phi$  any normal model  $\mathcal{N}$ :

$$\mathcal{N}, \mathsf{S} \Vdash \phi \iff \mathcal{N}^-, \mathsf{S} \models \phi$$

An inductive proof suffices where the following step is crucial:

$$\mathcal{N}, \mathsf{S} \Vdash [\psi] \chi \iff \mathcal{N}^-, \mathsf{S} \models [\psi] \chi$$

To show this we prove the following by

If 
$$s \xrightarrow{\psi} t$$
 then  $\mathcal{N}^-, t \hookrightarrow \mathcal{N}^-|_{\psi}, s$ 

### Definition (Bisimulation w.r.t. $\rightarrow$ )

A binary relation Z is called a *bisimulation* between two Kripke models  $\mathcal{M}$  and  $\mathcal{N}$ , if sZt and whenever wZv the following hold:

- Invariance  $p \in V^{\mathcal{M}}(w)$  iff  $p \in V^{\mathcal{N}}(v)$ ,
  - **Zig** if  $w \to w'$  for some w' in  $\mathcal{M}$  then there is a  $v' \in S^{\mathcal{N}}$  with  $v \to v'$  and w'Zv',
  - **Zag** if  $v \to v'$  for some v' in  $\mathcal{N}$  then there is a  $w' \in S^{\mathcal{M}}$  with  $w \to w'$  and w'Zv'.

If there exists a bisimulation between  $\mathcal{M}$ , and  $\mathcal{N}$  linking s in  $\mathcal{M}$  to t in  $\mathcal{N}$  then we say pointed models  $\mathcal{M}$ , s and  $\mathcal{N}$ , t are bisimilar (notation:  $\mathcal{M}$ , s  $\hookrightarrow \mathcal{N}$ , t).

PAL formulas are invariant under bisimilarity: if  $\mathcal{M}, s \hookrightarrow \mathcal{N}, t$  then for all PAL formula  $\phi: \mathcal{M}, s \models \phi \iff \mathcal{N}, t \models \phi$ .

System PAN

Axiom Sc	chemas	Rules	
TAUT	all the instances of tautologies	MP	$\frac{\phi,\phi \to \psi}{\psi}$
DISTK	$\Box(\phi \to \chi) \to (\Box \phi \to \Box \chi)$	NECK	$egin{array}{c} \psi \ \phi \ \hline \Box \phi \ \phi \end{array}$
DIST!	$[\psi](\phi \to \chi) \to ([\psi]\phi \to [\psi]\chi)$	NEC!	$\frac{\phi^{'}}{[\psi]\phi}$
INV	$(p \to [\psi]p) \land (\neg p \to [\psi]\neg p)$		-, -,
EXE	$\langle \psi \rangle \top \leftrightarrow \psi$		
NM	$\Diamond \langle \psi \rangle \phi \to [\psi] \Diamond \phi$		
PR	$\langle \psi \rangle \Diamond \phi \rightarrow \Diamond \langle \psi \rangle \phi$		

where  $p \in P \cup \{T\}$ . Do we need  $\langle \psi \rangle \phi \rightarrow [\psi] \phi$ ?

### The crucial axioms

PR is in the shape of  $\langle a \rangle \Diamond \phi \rightarrow \Diamond \langle a \rangle \phi$  (or  $\Box [a] \phi \rightarrow [a] \Box \phi$ ).

**NM** is in the shape of  $\Diamond \langle a \rangle \phi \rightarrow [a] \Diamond \phi$  (or  $\langle a \rangle \Box \phi \rightarrow \Box [a] \phi$ ).

No Learning (NL) in ETL:  $\Diamond \langle a \rangle \phi \to \langle a \rangle \Diamond \phi$  (or  $[a] \Box \phi \to \Box [a] \phi$ ). Note the **difference** between NM and NL:

$$\Diamond \langle a \rangle \phi \rightarrow [a] \Diamond \phi \text{ (NM) vs. (NL) } \Diamond \langle a \rangle \phi \rightarrow \langle a \rangle \Diamond \phi$$

**NL** is too strong: if you consider possible that an event is executable then it must be executable (take  $\phi$  to be  $\top$ ).

One secret of PAL (and DEL in general) is the *no miracles*-like axiom/property: You can only learn by observation (based on the executability of the actions). It also causes technical difficulties.

### Lemma

For all  $\phi \in PAL$ :  $\mathbb{M} \models \phi \implies \mathbb{C} \Vdash \phi$ .

### Lemma

For all  $\phi \in PAL$ :  $\mathbb{C} \Vdash \phi \iff \vdash_{PAN} \phi$ .

### Theorem

**PAN** is sound and strongly complete w.r.t. the standard semantics of **PAL** on the class of all Kripke frames.

### The proof strategy consists of:

- 1. Establish the equivalence between the standard semantics and the two-dimensional semantics on ETL-like models within a special class.
- 2. Axiomatize the ETL-like logic.

### Flatten the dynamics!

This can be viewed as another kind of reduction in general which does not eliminate the dynamic modality.

### Now we can understand the reduction axioms better!

Axiom Schemas	
TAUT	all the instances of tautologies
DISTK	$K_i(\phi \to \psi) \to (K_i\phi \to K_i\psi)$
!ATOM	$[\psi]p \leftrightarrow (\psi \rightarrow p)$
! NEG	$[\psi]\neg\phi\leftrightarrow(\psi\to\neg[\psi]\phi)$
! CON	$[\psi](\phi \wedge \chi) \leftrightarrow ([\psi]\phi \wedge [\psi]\chi)$
! K	$[\psi] \Box \phi \leftrightarrow (\psi \to \Box (\psi \to [\psi] \phi))$

Note: each instance of  $\langle \psi \rangle \phi \rightarrow [\psi] \phi$  is provable in PAN.

The reduction is fragile: what if the updates change the valuation and do not have functionality? It does not matter at all! See e.g., [Wang and Li, 2012].

In terms of logic (valid formulas), PAL (and DEL) are just special ETL-like logics.

Our axiomatization can help to explain many recent results about PAL or other dynamic epistemic logics:

- · An explanation to the "reduction phenomena".
- The axiomatization of the "substitution core" of PAL as in [Holliday et al., 2012].
- The representation results between action model DEL and ETL as in [van Benthem et al., 2009] and [Dégremont et al., 2011].
- The characterization result of partial p-morphism as in [van Benthem, 2012].

The distinction between ETL and DEL is more about different perspectives in semantics: local vs. global. What kind of global properties can be constructed by local constructions?

# There are also many new questions

- Can you axiomatize the substitution core of PAL(the collection of valid formulas which are closed under uniform substitution)?
   [Holliday et al., 2012]
- Can you characterize (syntactically) the "successful" fragment of PAL? [Holliday and III, 2010]
- What operations can be defined by reduction axioms? [van Benthem, 2012]
- · Three-value semantics of PAL. [Dechesne et al., 2008]

### PAL with natural extensions:

- · Quantifying over announcements. [Ågotnes et al., 2009]
- PAL with protocols. [?]
- · PAL with agent types. [Liu and Wang, 2013]
- With common knowledge: more expressive than modal logic [van Benthem et al., 2006]. A expressiveness hierarchy: [Zou, 2012]
- With iterations: undecidable on the class of arbitrary models [Moss and Miller, 2005], but decidable on single-agent S5. [Ding, 2014]

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